

# Over Half of All 401(k) Accounts are Tested or Administered on ASC.

## Ask us how!

**Answer:** *ASC is an industry leader because we utilize advances in technology to make your business of servicing retirement plans more efficient and ultimately more profitable.*

*According to an ASC client survey conducted in 2003 - over 25 million 401(k) participant accounts in 35,000 large plans are tested or administered by an ASC system - meaning over half of all 401(k) accounts are run on ASC (EBRA Issue Brief; March 2003). Additionally, over 100,000 plans run ASC systems for Defined Benefit, smaller 401(k) & other DC plans.*

### Handle plans in less time by eliminating time consuming manual processes

- Run a series of compliance tests or other administrative functions on one plan or multiple plans without user interaction by using ASC's Single-Step Processing.
- ASC's Automated Loan Processing System will take the loan payments and convert them into principal and interest based on an amortization schedule (also generated in ASC).
- Automatically import insurance files into ASC with ASC's Importer Feature.
- With Money Manager Link (MML), importing data from an outside recordkeeping system is done automatically without user interaction. Results can also be exported after testing is completed.
- Import employee data such as beginning balances, contributions and earnings from any payroll or employer file format through our automated import wizard.
- Perform data checks to isolate plan specification or employee data errors such as:
  - Invalid Dates – birth, hire, entry, etc.
  - Ineligible employee with deferral contributions
  - Missing compensation for active employee
  - Matching without deferral amounts
- Calculate matching contribution variance through ASC's match verification report
- Projected Testing is a breeze using ASC's software, several methods to select from
- And many, many more features that increase your efficiency

### Meeting the specific needs of your clients with the flexibility of ASC software

- Calculation of Eligibility
  - ASC allows different eligibility requirements by source
  - Based on census data, ASC will automatically determine employee's status
- Calculation of Key/Highly Compensated Employees
  - ASC will automatically determine key and/or highly compensated employees
- Calculation of Vesting
  - Vesting options by source of money
- Calculation of Contributions
  - ASC provides for discretionary and formula contribution allocations for multiple sources including safe harbor, cross-testing/new comparability, points, age-based, integrated and tiered matching.
  - Sole Prop and Partnership Income Solving
- Calculation of Limits & Full Range of Tests Performed
  - In addition to the ADP/ACP testing, ASC will also test 415, 404, 402(g), 416, and 414(s) – taking into account catch up contributions.
- And many, many more features that help you meet the unique needs of your clients.

### Convenience features that make your life easier

- When you need help with a case, our support team can quickly and easily view your e-mailed data without wasting your time on games of phone tag or verbally explaining the case.
- Spot-check and edit plans with ASC's At-A-Glance Spreadsheet Grid.
  - View employee data – sorted any way you want – on one page. And then immediately edit/fix any errors right from the Grid.
  - Print reports and/or view the exact pieces of employee data you want – such as contribution by participant, compensation, earnings or any other employee related item.
  - Easily create formulas, enter data, export data, copy and/or clear data.
- Paperless option – print your plans to a file for easy storage or e-mail (this feature requires Adobe Acrobat).



For more information  
Call  
(800) 950-2082 x295

Visit  
[www.asc-net.com](http://www.asc-net.com)

E-mail  
[sales@asc-net.com](mailto:sales@asc-net.com)