



BOOST YOUR EFFICIENCY

Keep your documents organized and accurate with our web-based DGEM System

Manage plan documents & 5500s on one screen

Keep track of document history and status

Checklist format enables fast & accurate document creation



Manage Documents - A1 Automotive

Manage Plan Documents and 5500 Forms

Document Type	Plan Version	Status	Date	Hide Old User
ECTRA PS/401(k) VS AA	002 V2.	in process	10/08/2014	admin
ECTRA PS/401(k) VS AA	002 V2.1 sub	in process	10/09/2014	admin
PPA PS/401(k) VS AA	002 V1.	in process	04/27/2016	Support
PPA PS/401(k) VS AA	002 V1.1 sub	in process	07/11/2016	Support
PPA PS/401(k) VS AA	002 V1.2 sub	in process	07/11/2016	Support

Customized Documents

Upload

Create New Document

New Plan Doc

New 5500 Form

New PBGC Form

One-click data validation

Clear navigation of plan provisions

Edit PPA PS/401(k) VS AA - A Sample Client

Back Clear Validate Save Save & Next

General Information

- Employer Information
- Plan Information
- Eligible Employees
- Minimum Age and Service
- Compensation Definitions
- Employer Contributions
- 6A. Salary Deferrals
- 6B. Matching Contributions
- 6c. Safe Harbor Contributions
- 6D. Special Contributions
- Retirement Ages
- Vesting and Forfeitures
- Distribution Provisions
- In-Service Distributions
- Miscellaneous
- Special Dates

SECTION 1 - EMPLOYER INFORMATION

AA §1-1 EMPLOYER INFORMATION: Name: A Sample Client, Address: 113 Anywhere, City, State, Zip: Anytown, ND 58078, Phone Number: 7012122892, Fax Number:

AA §1-2 ER IDENTIFICATION NUMBER: EIN: 44-1111111

AA §1-3 FORM OF BUSINESS: C-Corporation, S-Corporation, Partnership/LLP, LLC, Sole Proprietor, Tax-Exempt Entity, Other:

AA §1-4 TAX YEAR END: a. December 31, b. Other:

AA §1-5: Yes

CREATE VIRTUALLY ANY PLAN TYPE WITH DGEM

Pre-approved DC, DB and 403(b) documents

Individually designed cash balance & ESOP Documents

New Document

Client: A Sample Client

Plan Number: []

Plan Name: []

Plan Document: PPA PS/401(k) VS AA

Pattern: []

Back

SAVE TIME & REDUCE ERRORS WITH INTEGRATED SYSTEMS

Integrated with ASC's Recordkeeping/Compliance, 5500's and Client & Task Tracking System (CATTS)

Restate or modify all your plan documents in batch

Batch generate annual notices, pre-populated forms and interim amendments

Flexible document delivery options include: Print, Email, Publish directly to ASC's Plan Sponsor Web Portal

E-Signature Features with auto-tracking via Adobe Sign®

One click document delivery package

Safe Harbor and Annual Notices Wizard

Safe Harbor Searches:

Notice of Safe Harbor Contribution 401(k) / Automatic Deferral

Automatic Deferral Notice 401(k)

Notice of Safe Harbor Contribution 401(k) / Automatic Deferral QDIA Notice 401(k)

Automatic Deferral Notice 403(b)

Notice of Safe Harbor Contribution 403(b) / Automatic Deferral QDIA Notice 403(b)

A LIST OF DOCUMENTS WE OFFER

For updates visit www.asc-net.com or email asci@asc-net.com

PPA DEFINED CONTRIBUTION PLAN TYPES

Prototype

- Profit Sharing/401(k) – Nonstandardized
- Profit Sharing only – Nonstandardized
- Money Purchase – Nonstandardized
- Profit Sharing/401(k) – Standardized

Volume Submitter

(Adoption Agreement Format)

- Profit Sharing/401(k)
- Profit Sharing
- Owner’s Only 401(k) Plan
- Money Purchase
- Profit Sharing/401(k) – Traditional Format with Appendix
- Governmental Profit Sharing/401(k)
- Governmental Money Purchase

DEFINED BENEFIT & INDIVIDUALLY DESIGNED PLAN TYPES

- Defined Benefit Volume Submitter
- Cash Balance Plan
- Employee Stock Ownership Plan (ESOP)
- 457(b) Governmental Plan
- 457(b) Tax Exempt Plan

PRE-APPROVED 403(b) PLAN DOCUMENTS

- Fully Flexible 403(b) Plan (for 501(c)(3) organizations)
- Salary Reduction Only 403(b) Plan
- Governmental 403(b) Plan
- Non-Electing 403(b) Church Plan (without retirement income accounts)
- Retirement Income Account 403(b) Church Plan

PPA DEFINED BENEFIT & CASH BALANCE PLANS

(Enroll now for the anticipated IRS release in 2018)

- Defined Benefit Volume Submitter (integrated & non-integrated)
- Cash Balance Volume Submitter
- Defined Benefit Volume Submitter Governmental with Cash Balance provisions

All plan documents drafted by a team of ERISA experts led by John Griffin, J.D., LL.M. who has over 35 years of industry experience.



John Griffin
J.D., LL.M.



Richard Hochman
J.D.



Trent Hoilien
Esq., APM



Dawn Johnson
CRSP