



DGEM Client & Task Tracking System (CATTS)

available via ASC's Document Generation & Management (DGEM) Platform

A WEB-BASED ORGANIZATIONAL TOOL BUILT FOR THE RETIREMENT PLAN PROFESSIONAL

A central location for all your data, workflow and distribution tracking, automated data collection and more!

ASC offers two complementary CRM / Tracking Systems: CATTS (Client and Task Tracking System) and PensionPal. Track your clients, contacts, cases, participants, associated brokers, sales agents and accountants. Both systems offer customizable workflow and task management fields, on-demand or scheduled reports, letter and email merge capabilities and access to all plan information with the click of a mouse. ASC's ERISA experienced Support Team provides ongoing support including help with implementation. Contact ASC Sales at sales@asc-net.com or (800) 950-2082 x1 to discuss and find the right solution for your business.

AT-A-GLANCE: SEE THE BIG PICTURE! & MONITOR ALL YOUR PLANS!

Integrated within ASC's product suite for "Real Time" data flow between systems, allowing you to see up-to-date and comprehensive status of all your plan sponsor and plan information.

The screenshot shows the 'Welcome: CATTS Manager' dashboard. It features a navigation bar with 'Home', 'Companies', 'Cases', 'Contacts', 'Reports', 'Projects', 'Forms', and 'Employees'. The main content area includes a 'CALENDAR FOR CATTS Manager' for September 2019, listing events like 'Weekly Staff Meeting' and 'TASK DUE'. There are also sections for 'EVENTS FOR CATTS Manager' and 'TASKS FOR CATTS Manager'.

ORGANIZED AND AUTOMATED

- All in one place: clients, contacts, cases, & participants plus the option to individualize with unlimited custom fields
- At a glance views of your filing deadlines, events, tasks and staff schedules
- Create and generate a variety of reports on demand or create reports to generate and deliver to users on a regular schedule
- Track annual or daily workflow with Case or Company projects and staff role assigned tasks
- Manage case workflow by converting internal checklists to customized project templates or utilize generic project templates

The screenshot shows the 'Manage Projects' section. It includes a 'NON SCHEDULED PROJECTS' table with columns for Project Name, Related to, and Action. Below it is a 'SCHEDULED PROJECTS' table with columns for Project Name, # Active, Selection Criteria, Scheduling Criteria, Expiration Date, and Action. The scheduled projects table lists items like 'Annual Client Meeting', 'Form 5500 Tracking', 'Staff Role Distribution Project', and 'User Distribution Project'.

CUSTOM FIELDS

Prepare customized letters, emails & forms using plan document, 5500, ASC Compliance and custom data fields.

The screenshot shows the 'New Custom Field for Cases' form. It has a 'Definition' section where a user enters a label for a custom field. The 'Values' section allows the user to select a control type: Textbox, Textarea box, Single Checkbox, Group of Checkboxes, Radiobutton, Dropdown select, or Multiple select. There are also input fields for 'Enter your custom field values'.

TRACK PARTICIPANT DISTRIBUTIONS & OTHER EMPLOYEE DATA (NEW)

COMING SOON! ASC systems integration to expand to include ASC's Gemini Plan Sponsor Web Portal!

- Publish CATTS Forms directly to ASC's Gemini Plan Sponsor Web Portal
- Create CATTS Forms as "fill-able" PDFs with merge fields to feed back into CATTS
- Provide your Online Year End Questionnaire via Gemini – with direct data feed back into CATTS

The screenshot shows the 'View Employee Distribution' form for 'Andrew Luck'. It displays various details including Case Name, Distribution Type (Loan), Purpose (Other), Date of Request (5/10/2019), Plan Valuation Date (12/31/2019), Status (Distribution Delivery), Amount Requested (2,000.00), Gross Distribution (2,000.00), Taxable Amount (2,000.00), Federal Tax Withholding (400.00), Tax Code (1), State Tax Withholding, Custom Information (Vested Percentage 100.00, Force out Date, Force Out Taxable No, Force Out Rollover No), Institution (Trust Company), Delivery Address (1280 1st Ave West), and RBD (Minimum Distribution No).