



## BOOST YOUR EFFICIENCY

### Keep your documents organized and updated using our web-based Plan Document Generation & Management Platform (DGEM Documents)

- Manage plan documents & 5500s on one screen
- Keep track of document history and status
- Checklist format enables fast & accurate document creation
- One-click data validation
- Clear navigation of plan provisions

## CREATE VIRTUALLY ANY PLAN TYPE WITH DGEM DOCUMENTS

- Pre-approved DC, DB, CB and 403(b) documents
- Specialty Documents: 457(b) Governmental and Tax-Exempt Documents
- Multiple Employer Plan (MEP) processing feature provides maximum plan design flexibility, including custom SPDs
- Restate or modify all your plan documents in batch
- Batch generate annual notices, pre-populated forms and interim amendments
- Flexible document delivery options include: Print, Email, Publish directly to ASC's Plan Sponsor Web Portal
- E-Signature features with auto-tracking via Adobe Sign®
- One-click document delivery package - including customizable cover letters

## SAVE TIME & REDUCE ERRORS WITH INTEGRATED SYSTEMS

- Integration with ASC's Valuation/Compliance, 5500s, Gemini and CRMW & Task Tracking Systems

Manage Plan Documents and 5500 Forms

Document Type	Plan Version	Status	Date	Hide	Old User
EGTRRA PS/401(k) VS AA	002 V2	in process	10/06/2014	admin	
EGTRRA PS/401(k) VS AA	002 V2.1	sub in process	10/09/2014	admin	
PPA MP VS AA	003 V1	in process	05/15/2018	Support	
PPA PS/401(k) VS AA	002 V1	in process	04/27/2016	Support	
PPA PS/401(k) VS AA	002 V1.1	sub in process	07/11/2016	Support	
PPA PS/401(k) VS AA	002 V1.2	sub in process	07/11/2016	Support	

Customized Documents  
[Upload](#)  
[Delete](#)

Create New Document  
[New Plan Doc](#)  
[New 5500 Form](#)  
[New PBGC Form](#)

Edit: PPA PS/401(k) VS AA - A1 Automotive

back | Clear | Validate | Save | Save & Next

General Information	SECTION 1 - EMPLOYER INFORMATION
1. Employer Information	AA 81-1 EMPLOYER INFORMATION Name: A1 Automotive Address: 343 Oak City, State, Zip: STV, AZ 85555 Phone Number: 701-212-2222 Fax Number:
2. Plan Information	AA 81-2 EMPLOYER IDENTIFICATION NUMBER EIN: 09-0000099
3. Eligible Employees	AA 81-3 FORM OF BUSINESS <input type="radio"/> C-Corporation <input type="radio"/> Partnership/LLP <input type="radio"/> Sole Proprietor <input type="radio"/> S-Corporation <input type="radio"/> LLC <input type="radio"/> Tax-Exempt Entity <input type="radio"/> Other:
4. Minimum Age and Service	
5. Compensation Definitions	
6. Employer Contributions	
6A. Salary Deferrals	
6B. Matching Contributions	
6C. Safe Harbor Contributions	
6D. Special Contributions	

New Document

Client: A1 Automotive

Plan Number: 001

Plan Name: A1 Automotive 401(k) Plan

Document Type: PPA PS/401(k) VS AA

Pattern: PPA PS/401(k) Traditional VS AA

Back

Safe Harbor and Annual Notices Wizard

Annual Notices 401(k) Pre-approved: Automatic Deferral Notice 401(k)

Next

Annual Notices 403(b) Pre-approved: Universal Availability/415 Annual Notice

Next

Annual Notices 403(b) EGTRRA:

## A LIST OF PLAN DOCUMENTS WE OFFER

For more information or to subscribe to ASC "Alerts" newsletters please visit us at [www.asc-net.com](http://www.asc-net.com) or email us at [info@asc-net.com](mailto:info@asc-net.com).

### Cycle 3 Defined Contribution Plans

- Profit Sharing/401(k) – Standardized (Full or Collapsed AAs)
- Profit Sharing/401(k) – Nonstandardized (Full or Collapsed AAs)
- Owners Only 401(k) – Nonstandardized
- Money Purchase Pension – Nonstandardized
- Governmental Profit Sharing/Grandfathered 401(k) – Nonstandardized
- Governmental Money Purchase – Nonstandardized
- ESOP/KSOP (Leveraged & Nonleveraged) – Nonstandardized **New!**
- Nonelecting Church Profit Sharing/401(k) – Nonstandardized **New!**
- Nonelecting Church Money Purchase Pension – Nonstandardized **New!**

### PPA Defined Benefit & Cash Balance Plans:

- Defined Benefit Volume Submitter (Integrated & Non-integrated)
- Cash Balance Volume Submitter
- Governmental with Cash Balance provisions

### Pre-Approved 403(b) Plans:

- 403(b) for 501(c)(3) organizations
- Salary Reduction Only 403(b)
- Governmental 403(b)
- Non-Electing 403(b) Church (without Retirement Income Accounts)
- Retirement Income Account Church 403(b)

### Specialty Documents:

- 457(b) Governmental Plan
- 457(b) Tax Exempt Plan

ASC's plan documents are drafted by ASC's team of ERISA experts led by John Griffin, J.D., LL.M. who has over 35 years of industry experience



John Griffin  
J.D., LL.M.



Richard Hochman  
J.D.



Dawn Johnson  
CRSP



Andrea Gelhar  
ERPA, QPA



Meredith Shackelford  
J.D.