

A PREMISE-BASED MANAGEMENT SYSTEM BUILT FOR THE RETIREMENT PLAN PROFESSIONAL

ASC offers two complementary CRM & Workflow Systems: PensionPal and CATTs (Client and Task Tracking System). Both track your clients, contacts and associates, have employee level security restrictions, and keep plan information at your fingertips. In addition to implementation assistance and ongoing support from ASC's experienced support staff, both systems offer customizable workflow and task management fields, on-demand or scheduled reports, and letter and e-mail merge capabilities.

PensionPal is built with the full service TPA in mind. PensionPal's customization enables you to work with your staff roles and outside partners to process your plans efficiently and exceed your clients' expectations. PensionPal offers additional flexibility such as customizable dashboards, sales tracking, integration with ASC and other systems, detailed workflow and employee task management; as well as, correspondence and payout tracking.

ASC Sales at sales@asc-net.com or (800) 950-2082 x1 to discuss and find the perfect solution for your business needs.

Special Features:

- Customizable screen colors allow users to easily identify Client and Prospect information, plan year ends, and the status of plans
- Worklog and Accounting sections track data for multiple years
- Log all client correspondence with PensionPal's Outlook Add-In email integration feature
- Keep track of all payouts (Distributions, Loans, Hardships & QDROs)
- Attach notes, PDFs, and e-mails for uninterrupted client support
- Creation of merged templates using values from PensionPal and Microsoft products
- Mass create fillable PDF Forms such as Annual Questionnaires and click once to update all returned information
- Pie-chart diagrams provide at-a-glance answers to referral source, investment, staffing resource, and other important profitability questions
- Detailed time and billing information tracks employee production and generates invoices

Client Data

Client Name: [Name] Client ID: [ID] Plan ID: [Plan ID]

Client Address

Address 1: [Address] Address 2: [Address]
City: [City] State: [State] Zip: [Zip]

Client Information

Client Type: [Type] Client Status: [Status]
Client Date: [Date] Client Time: [Time]

Distributions

Client Name: [Name] Address: [Address] City: [City] State: [State] Zip: [Zip]

Distribution Tracking

Distribution Type: [Type] Distribution Date: [Date] Distribution Amount: [Amount]

Payment Information

Payment Method: [Method] Payment Date: [Date] Payment Amount: [Amount]

PensionPal Invoice

Sample TPA
123 First Street
Anytown, CA 12345

INVOICE

DATE	INVOICE #	DUE DATE
2/13/2021	1011021	02/12/2021

DESCRIPTION	QTY	RATE	AMOUNT
Setup Fees:			
Plan Setup Fee	1	\$1,000.00	\$1,000.00
Plan Fee	1	\$1,000.00	\$1,000.00
Participant Fee	50	\$10.00	\$500.00
Plan Document Maintenance	1	\$100.00	\$100.00
Employee Fee	1	\$100.00	\$100.00
QDRO Review			
Current Charges			\$5,600.00
TOTAL DUE			\$5,600.00

Net due in 30 days.

PensionPal
An ASC Company

YEAR END QUESTIONNAIRE

The data collected by this form will be used to complete the Form 5500 reporting for your retirement plan. Please complete the questionnaire as soon as possible.

SECTION 1: COMPANY PROFILE

COMPANY NAME: Sample Client 1000
ADDRESS: PO Box 12345
CITY, STATE, ZIP: Austin, TN 78704
BUSINESS ENTITY TYPE: LLC