



Schwab
Retirement
Technologies®

The ASC/SRT Winning Combination

*Providing you the best Daily Trading,
Compliance Testing, and More*

- ✓ With the ASC / SRT Combination You Receive Industry Leading:
 - *Schwab RT Daily Training: providing open architecture, flexibility, and various trading platforms*
 - *Compliance Testing from ASC accommodates virtually all plans, from the simplest to the most complex*
- ✓ ASC Plan Documents: housed on the DGEM (Document Generation & Management) System so you reap the benefits of ultimate automation and organization. Plus all documents are drafted by ASC's in-house ERISA experts
- ✓ Streamlined and efficient data sharing between ASC and SRT is fast and minimizes the risks of duplicate data entry errors
- ✓ Stability – ASC and Schwab both have 40+ years experience



Contact ASC: info@asc-net.com | www.asc-net.com | (800) 950-2082 x1
Contact Schwab Retirement Technologies: schwabrt@schwab.com | schwabrt.com | (800) 724 7256



Schwab
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Your Winning Combination

Seamless Integration Between ASC and SRT

SRT Daily Trading Platform & More

- **Recordkeepers & Administrators:** Receive custom administration and automation for many labor-intensive, repetitive tasks, saving time, conserving resources, allowing you to devote more time to growing your business. Offers select 3rd party services at reduced cost.
- **Plan Sponsors & Financial advisors:** Receive robust options for analyzing the wellness of your plans, accessing published reports & automation dashboards, fulfilling participant fee disclosure requirements, and outsourcing the printing and mailing of participant statements. Provides financial advisors with enhanced reporting capabilities.
- **Plan Participants:** Receive individualized retirement saving strategies, automatic deferral increase options, mobile phone apps, automatic email notifications and other special features.

The ASC/SRT Focus on Your Success Factor

- **Our Focus on You:** We can solutionize with you because our Sales & Support teams are comprised of Retirement Plan Industry professionals who understand your industry, challenges and goals.
- **The ASC & SRT Experience Factor:** ASC's Support and Training team members average 20 years of industry and ASC experience. We're in the unique position to service a huge range of clients from the start-up to the largest firms in the industry. And SRT has over 35 years experience.
- **Our Leadership:** Both ASC and SRT are leaders in the industry – leading with product innovation and outstanding client service.

- You can choose to host the products either in-house or ASP (Application Service Provider). Both hosting options provide seamless integration between Schwab RT and ASC Compliance Testing.
- Access to and integration with ASC 5500s and DGEM Plan Docs are available with the Schwab RT / ASC Compliance Testing combination.
- A pre-programmed link integrates the SRT data into ASC's Compliance Testing System.
- Compliance Testing Corrective actions can be imported back into SRT for processing.
- Non-Daily DC Schwab RT plans can also be processed in ASC's Compliance Testing System.

ASC Products & ERISA Experienced Tech Support

Compliance Testing

- Functionality accommodates simple to complex plans without having to go 'outside' the system
- Budget/Auto-Solve, flexible correction methods
- Side-by-Side ER Calc Illustrations
- Auto-Enrollment Reports and more

Plan Docs – A Document Management System

Drafted & supported by ASC's in-house ERISA Experts, including John Griffin J.D, & LL.M & Rich Hochman, J.D.

- Time savings, easy organization because documents are created within the automated DGEM (Document Generation & Management) System.
- Integration with Compliance Testing System ensures provisions are in synch and plans are being run in compliance with document provisions.

ASC's Full Suite of Retirement Plan Products & Services – for the rest of your needs

- Automated 5500 filings - housed within the DGEM (Document Generation & Management) System
- Defined Benefit System, CRMW's, and more

ERISA Experienced Tech Support

- Get tech help from a team who understands your business. All ASC Support Team Members have minimally 10 years Retirement Plan Industry and ASC Experience before joining ASC.